

- Faculté des sciences économiques
- [www.unine.ch/seco](http://www.unine.ch/seco)

## Ethics I (5AF2006)

Filières concernées	Nombre d'heures	Validation	Crédits ECTS
<b>Master en finance</b>	<b>Cours: 2 ph</b>	<b>cont. continu</b>	<b>3</b>

ph=période hebdomadaire, pg=période globale, j=jour, dj=demi-jour, h=heure, min=minute

### Période d'enseignement:

- Semestre Printemps

### Equipe enseignante:

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### Objectifs:

Preparation for Ethics section of the CFA Examinations (Level I).

### Contenu:

- I. The Code of Ethics
- II. Standards of Professional Conduct
  - A. Professionalism
  - B. Integrity of Capital Markets
  - C. Duties to Clients
  - D. Duties to Employers
  - E. Investment Analysis, Recommendations, and Action
  - F. Conflicts of Interest
  - G. Responsibilities as CFA Institute member or CFA candidate
- III. Global Investment Performance Standards
- IV. Current Issues.

### Forme de l'évaluation:

2-hour final written exam during the last lecture of the semester (100%).  
Reexamination session (August-September): 2-hour written exam (100%).

### Documentation:

[www.cfainstitute.org](http://www.cfainstitute.org), CFA Program, Course of Study, Study Sessions, 2015 Level I Exam, Study Session I, Readings 1-4, PDF.

### Pré-requis:

None.

### Forme de l'enseignement:

Lectures: 2 hours per week.

URLs	1) <a href="http://www.cfainstitute.org">www.cfainstitute.org</a>
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